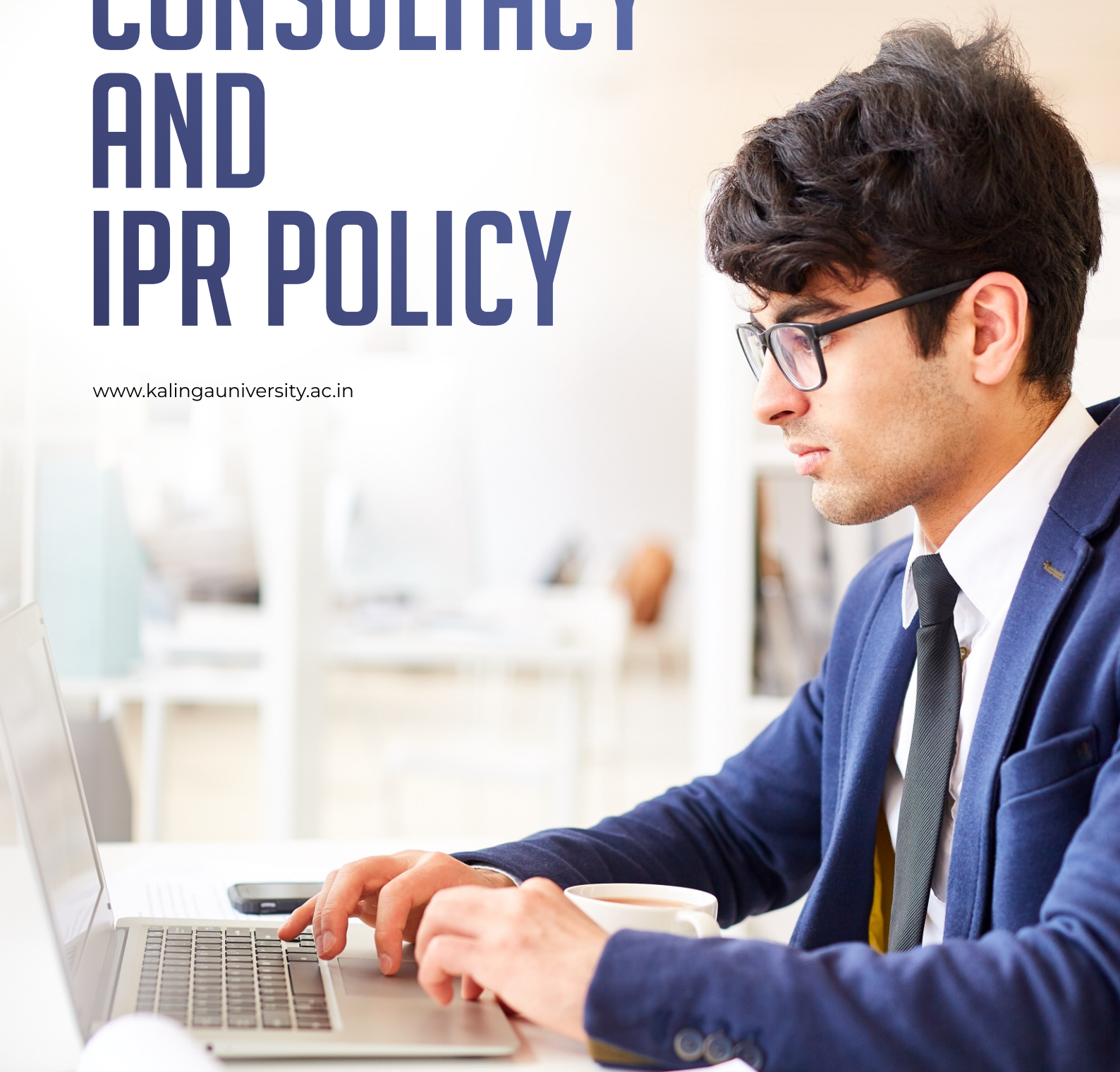




**KALINGA
UNIVERSITY**

RESEARCH, CONSULTACY AND IPR POLICY

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1. RESEARCH POLICY

Research and developmental activities create and disseminate new knowledge in range of fields, promotes innovation and these will motivate better learning and teaching among faculties and students of our University as these are often incorporated in the courses. Research is the foundation of knowledge that brings new energy, builds state of the art facilities, promotes research publications, develops collaborations and becomes part of active community that shares the mission objectives. Taking these into considerations, Kalinga University Research Policy is implemented.

Purpose

The purpose of the Research Policy is to create a vibrant atmosphere of research among faculty and researchers in Kalinga University. The policy shall serve as an overall framework within which research activities may be carried out.

Objectives

- To create an enabling environment within Kalinga University in order to foster a research culture as well as provide required support through research framework and guidelines.
- To ensure high level of efficient and effective support system to facilitate faculty and researchers in their research activities.
- Ensure publications in quality journals, indexed in Scopus/Web of Science and/or with impact factor.
- To nurture an environment of undertaking socially useful research with potential for commercialization.
- Establish Research Center within Kalinga University with potential for Excellence.
- Forge interdisciplinary collaborations and partnerships nationally and globally.
- To identify and establish linkages including MOUs for long term relationships with national and international academic organizations for widening the scope of research opportunities and funding options available to the teachers and students of the University.
- To identify and establish linkages including MOU s for long term relationships with industry bodies and individual companies for creating opportunities for teachers and students of the University to involve themselves in real life research projects and obtaining sponsorships.
- To develop rules, procedures and guidelines for granting research support, instituting awards, and supporting all other related activities.
- To develop rules, procedures and guideline for granting study leave, industrial training, duty leave, reduction in work load etc for faculty members undertaking research activity.

Custodian of Policy

The implementation and updating of Research Policy shall be carried out by Research Committee, Kalinga University. The Research Policy shall have a research advisory body to function under Vice Chancellor, Kalinga University and research committee, to assist and advise in matters related to research within Kalinga University.

Policy and Guidelines

- Faculty members of Kalinga University and departments are expected to undertake research, leading to quality publications, presentations in National/International conferences of repute, generation of Intellectual property with potential for commercialization, socially useful outcome and other similar research activity.
- Obligations of faculty and researchers (including post graduate researchers) Research output will be considered one of the criteria for faculty recruitment and promotion along with other academic responsibilities.
- Recruitment and promotion: Kalinga University shall recruit such faculty members and researchers who have demonstrable/demonstrated capability in research. Faculty promotion may significantly depend on research undertaken. The quality of research output, especially research publications, may be assessed on the established yardsticks such as Impact Factor (IF) and which will be revised from time-to-time as appropriate.
- Research Management: Overall management of research activities may be coordinated by Research Committee, Kalinga University, under direct supervision of Vice Chancellor. Research committee shall be responsible for overall functioning of research activities within the University.

2. CONSULTANCY POLICY

For Kalinga University, it is important to nurture new ideas and innovation in technology and science and with its general goal of to create a niche in which new ideas, research, consultancy and scholarship flourish and from which the leaders and innovators of tomorrow emerge. In fulfillment of these points of importance, KU has taken the initiative to promote innovations and to facilitate protection of Intellectual Property (IP) thus generated at KU. In this document, the processes to support these efforts have been included. A formal Framework to guide the implementation of these processes is included in this document.

To administer the policies included in this document related to Research & Consultancy, and maintain the relevant documents, KU has University Research & Development Cell with appropriate administrative and supporting staff, The aim is to sustain and grow creativity in an ethical environment in KU, that recognizes the importance of innovations and assists in translating them into products, processes and services for commercial exploitation and to achieve the widest public good.

Consultancy Rules and Norms:

Scope of Consultancy Services Offered

2.A(1) Consultancy Services may be offered to industries, service sector, Govt. Departments and other National and International agencies in niche areas of expertise available in the University.

2.A(2) Consultancy services offered may cover a variety of activities such as Feasibility Studies; Technology Assessments: Assessment of Design and/or Current Manufacturing Process Material. Energy, Environmental and manpower Audits; Product Design; Process Development Software Development; General Troubleshooting, Retrofitting Exercises. Formulation development, Quality Audits, Stability Studies and Protocol developments and other mutually agreeable activities with industry & Kalinga University, Intensive efforts for transfer of highly focused skills and expertise to select groups in specific organizations, vision and strategy statement and so on.

2.A(3) Standardization and Calibration services may be offered in areas in which facilities are available or can be augmented. Such services should normally, be backed by periodic Calibration/Standardization of laboratory equipment used for such purposes.

2.A(4) Jobs which are too complex to handle, by virtue of certain constraints inherent in any academic and research environment-such as execution of certain types of works, should not normally, be taken up irrespective of the availability of expertise and perceived needs of the clients.

2.A(5) All Consultancy and related Jobs need to be structured and executed in the spirit of promoting KU-Industry Interactions, as a vehicle for augmenting (current) levels of excellence in teaching and research for proper placement of KU graduates & Research scholars in the process, generating and funds.

Project Category

2.B(1) Each project shall be undertaken either under

- Standard Terms and Conditions, or
- Specific research agreement or Memorandum of Understanding describing the details of contract.

In the former case, the work is taken up in good faith between the consultant and the client, the obligations and responsibilities of both parties being limited by the standard terms and conditions. The latter case refers to projects that usually involve non-disclosure agreements, detailed negotiations of contract terms and signing of contracts in the form of agreement or MOU covering various aspects such as deliverables, milestones, payment schedules, role and responsibilities of the parties, non-disclosure of confidential information, disputes resolution, liability, IPR matters arbitration and application law, These projects involve significant amount of effort time associated with the negotiation and implementation of the research contracts.

2.B(2) Consultancy and related services offered will be divided mainly into two categories.

Expert Advice and Development Projects: This type of project will be Expertise intensive or Intellectual type and based on the expertise of the Consultant.

Testing Projects: - This type of project will be infrastructure intensive and will be based on extensive usage of the institute infrastructure.

2.B(3) Eligibility for Undertaking Consultation

Consultancy and related assignments can taken up by full time faculty

Any other employee of the Institute may take up consultancy work with prior approval of the Vice Chancellor. All employees undertaking consultancy shall hereinafter be referred to as Consultant.

2.B(4) Conflict of Interest

Consultants shall disclose to the Research Committee in writing or verbal, the existence of

- (i) Any relationship between him/her and the client funding the consultancy project or any vendor to whom payment are made from the project funds in the form of involvement of any immediate relatives or
- (ii) Any scope for potential disproportionate self-gain.

Research Committee will review such cases and decide appropriately, with the advice of a committee, to ensure that no actual conflict of interest exists and that such an involvement by the consultant does not adversely affect the consultant's objectivity, integrity, or commitment to the Institute and to be profession, Consultants may not use the Institute name or the fact that they are affiliated with the Institute, in a manner that

- (i) Suggests that the Institute approves or disapproves of a product or service provided by a profit, non-profit or governmental entity or
- (ii) Suggests that the Institute has performed research or issued research findings when it has not done so, or misleadingly states the results of Institute researcher.
- (iii) May be interpreted to communicate the official position of the Institute on any issue of public interest.

3- INTELLECTUAL PROPERTY RIGHT (IPR) POLICY

Preamble

In the present scenario, where intellectual property right and their awareness is being increasingly linked to innovation and creativity, the University wishes to adopt the following IPR Policy to encourage the spirit of innovation amongst its staff and students. The Policy Covers industrial property viz. Patents, design, copyright trademarks, besides, addressing issues relating to sponsored projects/setting up of ventures by staff and students.

1. All Students and Staff of the University are free to seek protection for their ideas, either in individual capacity or generated as part of research output of their projects, in form of patents, industrial designs & copyrights.
2. University will provide due support to students/staff, in the form of professional assistance and bear all expenses for the same, for filings in India.
3. Contact point will be In-charge of IPR Cell of the University, who will frame the modalities for innovation/idea capturing, Liaison with attorneys and experts for fillings, maintain records, facilitate licensing, royalty collections, disbursements to innovators and also carry out other miscellaneous activities.
4. All Students and Staff of the University are free to publish books, write journal articles and submit them to publishers/journal or other sources for publication, as per university conduct rules,
5. Copyright for thesis will be retained jointly by the student and the guide. Copyright for all data/photographs/figures and textual matter and tables used in the thesis shall also belong jointly to the student and the guide. University will retain publicity rights.
6. Copyright for all publications and articles by the students and staff shall belong to them and they are free to retain whatever royalties for such publications.
7. Copyright for any software/program development by the student/faculty staff shall belong to them and they are free to file copyright for same, at their own expense and retain royalties if any, from the same, one royalty free copy of the software/program will be provided to the University for academic purposes only. University will retain publicity rights.

For **Patents/Design registration fillings** outside Indian either under worldwide treaties e.g. the Patent Co-operation Treaty or directly in USA, Europe or other countries, decision will be taken by the University on case to case basis., subject to evaluation of licensing potential financial inputs needed and also availability of funds.

- a) University may in due course create a separate fund, either from its own resources or

external grants, to facilitate and fund international filings also, without any burden on innovators. University will retain publicity rights.

- b) All applications relating to Patents/Design registration will be filed in name of Innovator indicating affiliation, address of Kalinga University, University will retain publicity rights,
- c) Full Commercial rights will belong to the innovators.
- d) Innovators enjoy full freedom to license out the inventions to others or use them to develop products by setting up their own ventures.

In case a patent/design registration is being filed by more than one person, them at the time of signing MOU, innovators in advance, must mutually decide the revenue pattern sharing arrangement between them and make a written agreement. This is to avoid conflicts between the innovators, in the event of licensing.

This sharing agreement will be duly reflected in the MOU signed between the University and the innovators and signed document approving the sharing, will be a part the MOU.

For granted **Patents/Designs** which are not licensed out or commercialized within five years from date of filing, University may at its discretion decide to abandon the same or ask innovators to bear further expenses on maintenance if inventors(S) want the same to be maintained.

University allows innovators (Staff and students) to set up their own Companies/Ventures around the innovations to encourage entrepreneurship.

- a) Working staff of University can be a promoter/non-working partner in a venture by investing funds but not work full time (part time working allowed, without affecting ongoing research academic load).
- b) Students are allowed to set up their own ventures but only part time working is allowed, so that conflicts with ongoing academic programs e.g. attendance in classes, practical's and examinations, are avoided.
- a) All intellectual property rights (Patents/Copyright/Designs and Trademarks) relating to sponsored projects from Industry/Individuals, will belong to the sponsor.
- b) Name of students/faculty who worked on the project, against charges duly paid to University, may be included in any Publications/Patents/Copyright/Design Applications but all commercial rights shall belong exclusively to the sponsor.

Amendments to this policy, if any shall be prospective and affect the agreements already signed.

Research Reimbursement Policy

Particular	Amount in Rs.
Book National	5000/-
Book International	10000/-
Chapter National	1000/-
Chapter International	2000/-
MOOCS or NPTEL (if the faculty clears the Exam)	100% of registration amount + OD
Patent Publication/Design Grant	50% upto 10,000/-
Patent Grant (only for inventor)	50% upto 20,000/-
Professional Membership per year (Valid up to June 2025)	50% of fees

Note: - Along with your papers, you have to submit bill/Invoice or Receipt of payment for availing the reimbursement amount

Incentive Policy

Paper Publication

Author Position	SCI	Scopus	WOS	UGC Care 2
First Author	15000/-	12000/-	12000/-	5000/-
Second Author	12000/-	10000/-	10000/-	3000/-
Third Author	5000/-	8000/-	8000/-	2000/-
Fourth & Fifth Author	5000/-	4000/-	4000/-	1000/-

Books & Chapters Publication

	International Publisher	National Publisher
Book	5000/-	2000/-
Chapter	1000/-	500/-
Chapter Indexed (Springer/Elsevier, etc.)	5000/-	NA

Note: in the case of multiple authors, the incentive will be provided on a prorata basis.

Patent Publication/Design Grant/Patent Grant

	International	National
Patent Publication	7500/-	5000/-
Design Grant	7500/-	5000/-

Note: in the case of multiple authors, the incentive will be provided on a prorata basis.

Conference/FDP Refresher Course

For presenting paper in the conference & paper should appear in conference proceedings with valid ISSN/ISBN Number	50% of registration amount + OD + Train fare as per the travel policy.
For attending FDP in the offline mode and minimum duration should be one week. (Not applicable for online mode)	50% of registration amount + OD
For attending Refresher Course of minimum 15 days duration	50% of registration amount + OD
For attending conference	OD only

Note: -

- No Need to submit any kind of bill or invoice for availing the incentive policy
- Incentives will be releases after the paper gets indexed
- Incentives will be provided up to the 5th Author only.
- Staff Can Claim financial assistant under any one policy only either under reimbursement policy or under incentive policy.
- Maximum 15 days of OD will be sanctioned in one academic sessions to attend Conference / workshop/FDP/Refresher Course or for attending any event as external evaluator, interview expert, keynote speaker, inspection visit and appearing in NPTEL Exam.
- National conference /FDP/Refresher course once in a semester.
- International conference/FDP/Refresher course once in 2 years.

Incentive towards Research Projects/ Consultancy/Grants towards Seminar / Conferences

For any sanction/approval Research Projects/Consultancy Projects /Grant Received, after all expenditures the university will retain 60% & concerned faculty will get 40% as an incentive.